### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Perry A. Frey et al.

Title:

**DNA Molecules Encoding Bacterial** 

Lysine 2.3-Aminomutase

Appl. No.:

09/847,010

Filing Date: 05/01/2001

Examiner:

Richard G. Hutson

Art Unit:

1652

## DECLARATION UNDER 37 C.F.R. §1.132 OF DR. VICTORIA SUTTON

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Examiner:

#### I, Dr. Victoria Sutton, state and declare that:

- In 1997 I received my Bachelor of Science degree in Biology from Western 1. Michigan University. I received my Ph.D. from the University of Wisconsin -Madison in Cellular and Molecular Biology in 2004. During my doctoral research, I performed numerous sequence alignments.
- 2. I am a temporary employee of the Wisconsin Alumni Research Foundation.
- 3. I performed a sequence alignment of the lysine 2,3-aminomutase polypeptide sequences disclosed in U.S. Patent Application No. 09/847,010 in conjunction with Eric Cabot using the BLOSUM62 scoring matrix as implemented in ClustalW, using the program's default parameters. (See Thompson, J.D., Higgins, D.G. and Gibson, T.J. (1994) CLUSTAL W: improving the sensitivity of progressive multiple sequence alignment through sequence weighting, positionsspecific gap penalties and weight matrix choice. Nucleic Acids Research, 22:4673-4680.) These algorithms or similar ones were publicly available on or before May 1, 2001, the filing date for U.S. Patent Application No. 09/847,010. The counterpart amino acid of each sequence was compared at each position of SEQ ID NO: 2, the clostridial lysine 2,3-aminomutase.

- 4. The resulting alignment of the sequences at each position of the clostridial lysine 2,3-aminomutase enzyme is shown in the Appendix. The first column lists each amino acid position in the clostridial lysine 2,3-aminomutase enzyme, and the second column lists the clostridial amino acid at that position. The third column shows each counterpart amino acid in the seven other lysine 2,3-aminomutase sequences for each clostridial position; dashes indicate the absence of a counterpart amino acid. The final column shows the percent sequence identity among all eight sequences.
- 5. Based on percent sequence identity, the alignment shows which positions are likely to be tolerant or intolerant of amino acid substitutions. Thus, for example, positions 48-52, 54-58, 87-95, 140-152, 215-217, 267-270, and 373-382 have low sequence identity (50% or less), indicating a likely tolerance for amino acid substitutions. By contrast, residues 124, 128, 129, 131, and 133 are all 100% conserved and are unlikely to tolerate even a conservative amino acid substitution well.
- 6. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Date: February 23, 2007

Dr Victoria R Sutton

# APPENDIX

SB4			
Amino		Amino acids of	
Acid	SB4 Amino	other lysine 2,3-	% Sequence
Position	Acid	aminomutases	Identity
1	M	AL	33.33
2	i	EK	33.33
3	N	SN	66.67
4	R	RK	66.67
5	R N	RW	66.67
6	Ŷ	KY	66.67
7	Ē	IIYE-R-	33.33
8	L	VLYL-F-	50.00
9	F	TPFWWF-	42.86
10	K	LQPKQE-	
11			28.57
	D	NEDDGN-	42.86
12	V	TPVVVVV	75.00
13	S	PVTPPPS	25.00
14	D	SIDEDEM	37.50
15	A	RREEENA	25.00
16	D	EEQKQLE	12.50
17	W	DEWWWWC	62.50
18	N	-QNNYRT	42.86
19	<b>D</b> , .	-NDDDSR	57.14
20	W	WWWWYE	75.00
21	R	LLHLKEQ	12.50
22	W	TTWWWWR	62.50
23	Q	QIQQQQK	75.00
24	V	LLVLLIR	25.00
25	R	AKLTKQR	25.00
26	N	DNNHNNG	62.50
27	R	VARTRRA	50.00
28	1	VIIVIIG	62.50
29	E	TSERNKR	25.00
30	T .	DDTTSTA	50.00
31	V	PPLLVLD	25.00
32	E	DKDDEKE	37.50
33	E	ELQDEEH	50.00
34	. <b>L</b>	LLLLIW	75.00
35		LLKKQKR	50.00
36		RKKKEKT	62.50
37		LAYVVYL	37.50
38		LLVILLS	25.00
39		NNTNTKP	25.00
40		ILLLLLA	75.00
41		DPTTTLS	50.00
42		EEAEEP-	14.29
43		EDEDSE-	57.14
44		KDEEEE-	71.43
45		LFEEYE-	71. <del>4</del> 3 57.14
46		LEEERE-	71.43
47			
		AQGGGG-	71.43
48	<b>V</b>	GSVVAI-	42.86

49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 71 72 73 74 75 76 77 78 80 81 82 83 84 85 86 87 88 89 90 91 92 92 93 94 94 95 96 96 96 97 97 97 97 97 97 97 97 97 97 97 97 97	AQCVKSLRMAITPYYLSLIDPNDPNDPVRKQAIPTALELNKAAA	RIKRSK- SAEIARC AASSETA KRLTGQA KKKK-GD LLVTILA FFLIFYL ASRPRPT LLMLLFE RRANDAH VVIIIII PPTTTTS RQPPPPP SPYYYYA FFYYFYY IILAALA DDSSSSH RKLLLLL MIIMMII EEDDDNA KKPPPPQ GGEDEEA NNNNDDQ PPPPPPD DQNRTKA DDCCCDQ PPPPPPD DQNRTKA DDCCCDQ PPPPPPA LLIVVIL LFRRRK RLKMRLR QQQQQQQ VVASVAV LMIVIIC TCPPPPF SSTLTRA QDHSEVP DLQEEVQ EEEEEEE FFLMLVR VVVHQDV IQRKPEV AAATFKH PEPKTVA GGEYSQC	14.29 12.50 12.50 12.50 12.50 71.43 12.50 37.50 37.50 25.00 37.50 62.50 75.00 62.50 75.00 62.50 75.00 62.50 75.00 62.50 37.50 87.50 25.00 50.00 87.50 25.00 100.00 37.50 50.00 62.50 25.00 100.00 37.50 12.50 25.00 100.00 37.50 12.50 25.00 100.00 37.50 12.50 25.00 100.00 37.50 12.50 25.00 100.00 37.50 12.50 25.00 100.00 37.50 12.50 25.00 100.00 37.50
88	N	VVVHQDV	12.50
	Α		
93	D	FFDDMSE	37.50
94	Ļ	SSQLMAC	25.00
95 96	E D	TTVEEGA	37.50 87.50
96 97	D P	DDDDDED PPPPSPP	87.50 87.50
98	L	LLLLLDL	87.50 87.50
99	H	EESHAAG	25.00
100	E	EEEEELE	87.50
. 55	-		07.00

101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117	D T D P V P G L T H R Y P D R V L L	QKDDDKD HNEEKER SADDHEY VAPPPDV VVVVVIT PPPPPPP GNGGGGF LILLLL LLTTVTV HHHHHHH KKRRRQ YYYYYYY HRPPPPA NNDDDDN RRRRRRR ALVVVVV LLLLLL LFFFMLM	62.50 12.50 37.50 50.00 75.00 100.00 75.00 87.50 50.00 100.00 62.50 100.00 75.00 100.00 37.50
119 120 121 122 123 124 125 126 127 128 129 130 131	L I T D M C S M Y C R H	LMLLLNL VAIVVVA KKTTTTT GGDNTTG GGKQQFR CCCCCCC AASSAAF VVMMSVS NNYYYYH CCCCCCC RRRRRRR YYHYYHY	75.00 25.00 75.00 25.00 12.50 100.00 37.50 37.50 62.50 100.00 100.00 37.50
131 132 133 134 135 136 137 138 139 140 141 142	C T R R R F A G Q S D D	CCCCCC FFTTTMF RRRRRRR RRRRSKR HHRRRRG FFFFIIF PPASVFI YYGGGSA ADQQDQQ EEKIPGR NNDGTEA QPAMERG	100.00 50.00 100.00 75.00 62.50 75.00 25.00 50.00 62.50 12.50 25.00 12.50
142 143 144 145 146 147 148 149 150 151	S M P M E R I D K A	GGSGTAWSVFRI NNPPNTP KKSKPKN RKEKAEE NSRQEEE WWILYIR QQDDEDE TLRAATK AACAQMI	12:50 25:00 16:67 50:00 12:50 50:00 25:00 37:50 50:00 25:00 50:00

153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204	I DY I RNTPQ V R D V L L S G G D A L L V S D E T L E Y I I A K L R E I P H V E I V R I G S R T P V	LLIILII EDDANDT YYYYYY VIIILIL AAARRKR AANENRA HHTTTHT PSPPPEP EETEQES LIVIVIV DERRRK EEDDDDE MVVCVVI IILLLL FFLILIV SSSSSSS GGGGGGG DDDDDED PPAGPPP LLLLLL MMLLTST AAVILLG KKSNASS DDDPLF HHEQKEA EERIVKQ LLLLLLV DAEEGET WWYYRYS LLIILLL LILLLLF TKKKSSR QHREERA LLLLLL EERRRRR ANESKES IIIIIV PPPPEKA HHHHHHP ILVIVD KQEEEEL RRIVIII LLVIIII RRRRRRR IIIIFL HHGGGGC STSTTTT RRRRRRR LLTAVLA PPPPPV IVVVVT	62.50 50.00 100.00 62.50 50.00 37.50 62.50 75.00 25.00 62.50 62.50 75.00 37.50 100.00 100.00 87.50 25.00 100.00 37.50 25.00 50.00 62.50 37.50 12.50 87.50 50.00 50.00 50.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 50.00 62.50 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 37.50 25.00 10.00 75.00 37.50 25.00 10.00 75.00 37.50 25.00 10.00 75.00 37.50 25.00 10.00 75.00 37.50 62.50 50.00 50.00 75.00 62.50 37.50 62.50 37.50 62.50 37.50 62.50 37.50 62.50 37.50 62.50 37.50 62.50 37.50 62.50 37.50 62.50 37.50 62.50 37.50 62.50 57.00 57.00 57.00 57.00 57.00 57.00 57.00 57.00 57.00	
	. •		10.00	

205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 260 27 27 28 28 29 29 20 20 20 20 20 20 20 20 20 20 20 20 20	V L P Q R I T P E L V N M L K K Y H P V W L N T H F N H P N E I T E E S T R A C Q L L A D A G Y	VVVVFLF IILFMAA PPPPPPP AQQQMQQ RRRRRRA IIIIVFF TTTTTFT AEPDQDP LFQHEKE VCLLLL ETVCCLI CLDEDDA FLMITIF AALLLL REKKAEQ STKKEKE TRYYHYM LLHHHSK QQPPPPP ITVVLIV LVWWWWW LMLLMII VVNNNNI NTTTITP HHHHHHH IIFFVFI NNNNNNN HHHTHHH APPSPPP NNNIKNA EEEEEEE VIVMIIL DDTTTTG EQEEPES TIEEEYT FFASVAQ RAVVAER QHEEEEA AAAAAV MMCCCVL AQEEDDE KKRKRRA LLMLLC RNAVTLV RANNRRG VVAAARA GNGGGG	62.50 25.00 100.00 75.00 87.50 62.50 87.50 37.50 75.00 25.00 75.00 50.00 50.00 50.00 75.00 50.00 62.50 62.50 100.00 87.50 75.00 62.50 100.00 87.50 50.00 12.50 62.50
			25.00
252	V	VVIVVIL	62.50
253	Р	TTPPPPP	75.00
254	L	LLLVLVV	62.50
255	G	LLGGGNQ	50.00
256	N N	NNNNNNS	87.50

258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273	NDHHRRH AKLDEKA LLLLLLL FFVVVLT DQKKKRC ATMIIIL GGRRRKG VIVVVV MLRRRKK PPPPPP YYYYQG YYYYYYY LLIILL HHYYYFF VLVQQHQ LLCCCCL DDDDDDD KKLLLPL VVSSVIA QQLEHKP GGGGGG AAIIAAT ASGGGVG HHHHHHD FFFFLFF MLRRRRR VITATTV SSPPTTP DDVVVIL	100.00 62.50 100.00 87.50 100.00 62.50 87.50 75.00 100.00 25.00 37.50 62.50 50.00 12.50 100.00 25.00 25.00 25.00 37.50 100.00 50.00 50.00 50.00 50.00 100.00 50.00 50.00 50.00 62.50 100.00 62.50
302 T 303 P	VITATTV SSPPTTP	50.00
	EEKKKKD	62.50
307 G	AAGGGGT	62.50
307 G	AAGGGGT	
306 K	EEKKKKD	62.50
305 S	DISSSDS	62.50
303 P	SSPPTTP	50.00
301 R	MLRRRRR	75 00
		87.50
299 H	НННННП	87 50
		12.50
297 I	ΤΔΑΙΙΔΑ	12.50
∠90 G	GGGGGG	100.00
295	OOI FHKP	25.00
294 5	VVSSVIA	37.50
293 L	KKLLLPL	62.50
292 Γ		100.00
290	VLVQQHQ	50.00
288	LLIIILL	50.00
287 Y	YYYYYYY	100.00
285 F	PPPPPPP	100.00
284 F	K MLRRRKK	50.00
283 \	/ VIVVVV	87.50
282 F	R GGRRRKG	50.00
280 k	DQKKKRC	50.00
		50.00
277 · •	( AKLDEKA	37.50
275 \	/ SSVMLFF	25.00
		100.00
272 k	K AKKKQLC	50.00
		62.50
269	H QQHPVEE	25.00
268 \	/ AATVPPV	37.50
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265	NNNNNN V	100.00
264	✓ VVIIVVV	75.00
262 I	RKRARKR	62 50
		100.00
259	V	100.00
258	S SSTASTS	62.50
257	000000	100.00

309 E 310 I 311 I 312 E 313 G 314 L 315 R 316 G 317 H 318 T 319 S 320 G 321 Y 322 C 323 V 324 P 325 T 326 F 327 V 328 V 329 D 330 A 331 P 332 G 333 G 334 G 335 G 336 K 337 T 338 P 339 V 340 M 341 P 342 N 343 Y 344 V 345 I 346 S 347 Q 348 S 349 H 350 D 351 K 340 M 341 P 342 N 343 Y 344 V 345 I 346 S 347 Q 348 S 349 H 350 D 351 K 352 V 353 I 354 S 355 R 356 N 357 F 358 E 359 G 360 V	QQEEEA IIIIIII MYIIMMW RKEEERR ETNGSYT LLLLLLL LQRRRKK TSGGGGE LLHHHRR VTTTTLL SSSSSSS GGGGGGG YYYYYFL LLASGS VVVVVIL PPPPPPP KKTTTTT LLFFYYL AAVVVAA RRVVVVV EEDDDDD IIAAALL GAPPPPP GGGGGG EEGGGGG PGGGKG SNGGGGG KKKKKKK TTIIIVF P-PAPPP L-VLVLL D-MQALV L-PPPPA Q-NNNNL LLYYYYA RYVVVVL QAVLLKQ QESSSKQQQHRDSSSKVPPPGTRDENWHKKKHVVLFQVIIWELLLFRRRREENNNSAYFFFFEEETSGGGGAVVYER	62.50 87.50 37.50 50.00 25.00 100.00 62.50 50.00 62.50 100.00 75.00 100.00 75.00 75.00 75.00 75.00 75.00 75.00 75.00 100.00 75.00 62.50 75.00 100.00 75.00 62.50 75.00 100.00 75.00 62.50 75.00 62.50 75.00 100.00 75.00 62.50 75.00 66.67
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361		66.67 50.00 33.33 83.33 66.67 66.67 16.67 50.00 66.67 33.33 60.00 25.00 50.00 25.00 50.00 25.00 50.00 33.33 33.33 66.67 33.33 100.00 33.33
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413	V	FL	33.33	
414	Q	DK	33.33	
415	E	KE	66.67	